

# OPTIMIZATION OF PROCESS PARAMETERS IN CNC TURNING USING RSM

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**Abstract**— Efficient turning of EN8 steel material can be achieved through proper selection of turning process parameters to maximize material removal rate and minimum surface roughness. For this achievement, design of experiments (DOE) has been used to study the effects of machining parameters such as cutting speed, feed, depth of cut on the surface roughness and material removal rate of EN8 steel. A mathematical prediction model of the surface roughness and material removal rate has been developed in terms of the above parameters and also the effect of these parameters on the surface roughness and material removal rate has been investigated by using response surface methodology (RSM). Prediction models are developed with the help of RSM using MINITAB-16 software and finally the optimal and predicated results are also verified with the help of confirmation experiments.

## I. INTRODUCTION

Turning is one of the fundamental machining processes, especially for the finishing of machined parts. Usually, the selection of appropriate machining parameters is difficult and relies heavily on the operators' experience and the machining parameters tables provided by the machine-tool builder for the target material. Hence, the optimization of operating parameters is of great importance where the economy and quality of a machined part play a key role [1]. Proper selection of cutting tools, parameters, and conditions for optimal surface quality (as well as tool life) requires a more methodical approach by using experimental methods and mathematical and statistical models. Not only does this require considerable knowledge and experience to design experiments and analyze data, but traditional design-of-experiment (DOE) technique require a large number of samples to be produced .

The optimization techniques of machining parameters through experimental methods and mathematical and statistical models have grown substantially over time to achieve a common goal of improving higher machining process efficiency [5]. To construct an approximation model that can capture interactions between design variables, a full factorial approach may be necessary to investigate all possible combinations [5]. A factorial experiment is an experimental strategy in which design variables are varied together, instead of one at a time. The lower and upper bounds of each of n design variables in the optimization problem needs to be defined. The allowable range is then discredited at different levels. If each of the variables is defined at only the lower and upper bounds (two levels), the experimental design is called 2n full factorial [6]. Factorial designs can be used for fitting second-order models. A second-order model can significantly improve the optimization process when a first-order model suffers lack of fit due to interaction between variables and surface curvature. By careful design of experiments, the objective is to optimize a response (output variable) which is influenced by several independent variables (input variables)

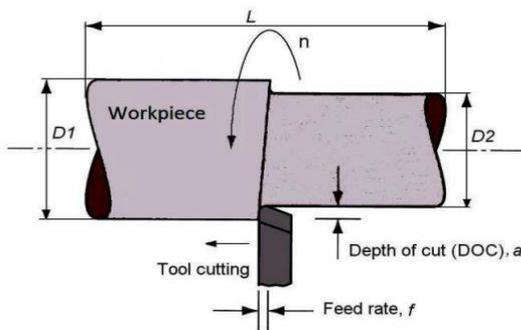
Cutting speed (also called surface speed or simply speed) is the speed difference (relative velocity) between the cutting tool and the surface of the workpiece it is operating on. It is expressed in units of distance along the workpiece surface per unit of time, typically surface feet per minute (sfm) or meters per minute (m/min).

Feed rate (also often styled as a solid compound, feed rate, or called simply feed) is the relative velocity at which the cutter is advanced along the workpiece; its vector is perpendicular to the vector of cutting speed.

Feed rate units depend on the motion of the tool and workpiece; when the workpiece rotates (e.g., in turning and boring), the units are almost always distance per spindle revolution (inches per revolution [in/rev] or millimeters per revolution [mm/rev]).

For a given material there will be an optimum cutting speed for a certain set of machining conditions, and from this speed the spindle speed (RPM) can be calculated. Factors affecting the calculation of cutting speed are:

1. The material being machined (steel, brass, tool steel, plastic, wood) (see table below)
2. The material the cutter is made from (Carbon steel, high speed steel (HSS), carbide, ceramics)
3. The economical life of the cutter (the cost to grind or purchase new, compared to the quantity of parts produced)
4. Cutting speeds are calculated on the assumption that optimum cutting conditions exist, these include:
5. Metal removal rate (finishing cuts that remove a small amount of material may be run at increased speeds)
6. Full and constant flow of cutting fluid (adequate cooling and chip flushing)
7. Rigidity of the machine and tooling setup (reduction in vibration or chatter)
8. Continuity of cut (as compared to an interrupted cut, such as machining square section material in a lathe)
9. Condition of material (mill scale, hard spots due to white cast iron forming in castings)



Feed rate is the velocity at which the cutter is fed, that is, advanced against the workpiece. It is expressed in units of distance per revolution for turning and boring (typically inches per revolution [ipr] or millimeters per revolution). It can be expressed thus for milling also, but it is often expressed in units of distance per time for milling

(typically inches per minute [ipm] or millimeters per minute), with considerations of how many teeth (or flutes) the cutter has then determining what that means for each tooth.

Feed rate is dependent on the:

1. Type of tool (a small drill or a large drill, high speed or carbide, a box tool or recess, a thin form tool or wide form tool, a slide knurl or a turret straddle knurl).
2. Surface finish desired.
3. Power available at the spindle (to prevent stalling of the cutter or workpiece). Rigidity of the machine and tooling setup (ability to withstand vibration or chatter).
4. Strength of the workpiece (high feed rates will collapse thin wall tubing)
5. Characteristics of the material being cut, chip flow depends on material type and feed rate. The ideal chip shape is small and breaks free early, carrying heat away from the tool and work.
6. Threads per inch (TPI) for taps, die heads and threading tools.

Response surface methodology is a collection of mathematical and statistical techniques that are useful for the modeling and analysis of problems in which a response of interest is influenced by several variables and the objective is to optimize this response.

It explores the relationships between several explanatory variables and one or more response variables. The method was introduced by G. E. P. Box and K. B. Wilson in 1951. The main idea of RSM is to use a sequence of designed experiments to obtain an optimal response. Box and Wilson suggest using a second-degree polynomial model to do this.

## II. LITERATURE REVIEW

**Kamal Hassan et al. (2012):** Investigates the effects of process parameters on Material Removal Rate (MRR) in turning of C34000. The effect of parameters i.e Cutting speed, feed rate and depth of cut and some of their interactions were evaluated using ANOVA analysis with the help of MINITAB 16 @ software. And it has been concluded that The Material removal rate is mainly affected by cutting speed and feed rate. The conclusion shows that with the increase in cutting speed the material removal rate is increased & as the feed rate increases the material removal rate is increased.[1].

**Pragnesh. R. Patel(2012):** Investigate the effects of different cutting parameters (Cutting Speed, feed rate, Depth of cut) on surface roughness and Power Consumption in turning of 6063 AL alloy TiC (MMCs). PCD tool was used as wear resistive tool in order to achieve desire surface finish. Full factorial Design in design of experiment was adopted in order to planning the experimental runs. Analysis of Variance was used to investigate percentage Contribution of Each process parameters on output Response. Results show that feed rate is significant parameter, which affect on surface roughness; and Cutting Speed is effective parameter which affect on power consumption. [2]

**R.A. Mahdavinjad et al. (2011) :**Investigate paper the optimize turning parameters of AISI 304 stainless steel. Turning tests have been performed in three different feed rates, cutting speeds with and without cutting fluid. A design of experiments (DOE) and an analysis of variance (ANOVA) have been made to determine the effects of each parameter on the tool wear and the surface roughness. It is being inferred that cutting speed has the main influence on the flank wear and as it increases, the flank wear decreases.

**Sukumar et al. (2012):** Investigate the effects of machining parameter on CNC turning of martensitic stainless steel using RSM(Response surface methodology) and GA(Genetic algorithm). The results obtained from RSM are R-Sq obtained was 99.9% which indicates that selected parameters (speed, feed, depth of cut) significantly affect the response (surface roughness). [4]

**M. Kaladhar et al. (2011):** Investigate the effects of process parameters on surface finish and material removal rate (MRR) to obtain the optimal setting of these process parameters on AISI 304 steel. The Analysis Of Variance (ANOVA) is also used to analyze the influence of cutting parameters during machining. The results revealed that the feed and nose radius is the most significant process parameters on work piece surface roughness. However, the depth of cut and feed are the significant factors on MRR.[5]

### III. EXPERIMENTAL PROCEDURE

The main objective in using experimental design is to provide maximum and accurate information in the results, along with the most efficient use of existing data. Here experiments were designed on the basis of the experimental design

technique. A 2k factorial, where k is the number of variables, with central composite-second-order rotatable design, was used to improve of reliability of results and to reduce the size of experimentation without loss of accuracy. The main objective of the factorial experiments consists of studying the relationship between the response as a dependent variable and the parameter levels. This approach helps to better understand how the change in the levels of application of a group of parameters affects the response.

#### 3.1.1 Central composite design

Here we study the result of the effects of speed, feed and depth of cut on the Material Removal Rate (MRR). A  $2^k$  factorial with central composite-second order rotatable design (CCRD) is used (in this case  $k = 3$ ).

This consist of  $n_c = 2k = 6$  corner points at +1 level,  $n_a = 2k = 6$  axial points at  $\gamma = +1.414$ , and a center point at zero level repeated five times (no) to estimate the pure error. The axial points are chosen such that they allow rotatability which ensures that the variance of the model prediction is constant at all points equidistant from the design center.

Replicates of the test at the center are very important as they provide an independent estimate of the experimental error. The precision of the estimated surface does not depend on the orientation of design with respect to the true response surface or the direction of the search for optimum conditions. The values of coded and actual value of each parameter used in this work are listed in the table (4.1). The experimental matrix that was adopted here in the coded form is shown in table. The coded number for variables used in table and table are obtained from the following transformation equation:

$$X_i = (\text{Chosen parametric values} - \text{Central rank value}) / (\text{Incremental parametric value})$$

## IV. FABRICATION AND EXPERIMENTATION

### 4.1 Experimentation setup

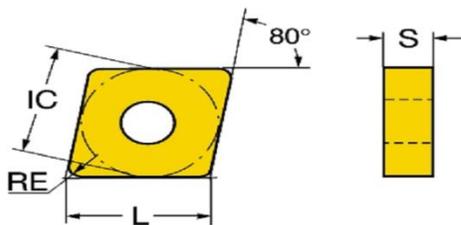
A CNC lathe (model: super jobber CNC, IGLOO make: ACE DESIGNERS LTD, Bangalore, India) as shown in the figure is used for the study. The nominal capacity of the machine is 926 Kcal/hr and the power supply given is 230V 50 Hz single phase supply. The refrigerant used is R-134a.



**Fig: 4.1 CNC lathe**

**4.2 TOOL SPECIFICATIONS**

CNMG 09 03 08-PF 4325  
 insert seat size code - 09  
 Insert seat size code - 3/8  
 Operation type - Finishing  
 Cutting edge length - 9.6719 mm  
 Insert thickness - 3.175 mm  
 Inscribed circle diameter - 9.525 mm  
 Corner radius - 0.8 mm  
 Fixing hole diameter - 3.81 mm  
 hand - N  
 Tool style code CNMG-PF  
 Grade - 4325  
 Insert shape code - C



**Fig: 4.2 CNMG insert**

**4.3 Studies after CNC lathe**

**Material removal rate (MRR):**

This is to ascertain the amount of material transferred to the work piece. In turning operation material is removed due to shear force caused by the cutting tool.

Material removal rate

$$MRR = \frac{\pi * L (D_1^2 - D_2^2)}{\frac{4L}{f_r N}}$$

Where L is length cut  
 $f_r$  is machine feed rate  
 units/revolution

$D_1$  is initial diameter  
 $D_2$  is Finished Diameter  
 $N$  = machine speed in revolutions/minute (RPM)

**Surface Roughness(Ra):**

After completed the turning operation we are finding the surface roughness Ra values by using the taly surf. Each experiment we take three values in three different places after that average of this three is the best value of surface roughness Ra value.

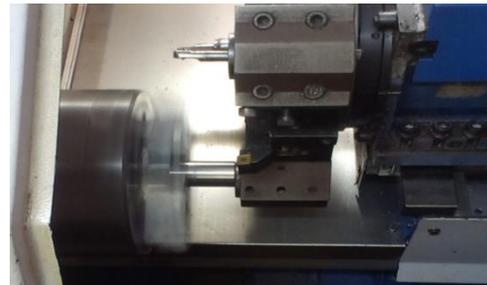
**4.4. Planning for experimentation**

Keeping in view of the present research objectives, experimental investigation and analysis were carried out in different parametric combinations, for deriving effective parametric combination. The experimental scheme has been designed in such a way that the objectives of the study can be fulfilled satisfactorily. The job material was EN8 also known as 080M40, unalloyed medium carbon steel. The size of workpiece is length-60mm x 32mm Ø. The length over which machining is done is 40mm.

**Chemical Composition:**

C - 0.35/0.45 %, Si - 0.05/0.35 %, Mn - 0.60/1.00 %, S - 0.06 %, P - 0.06%

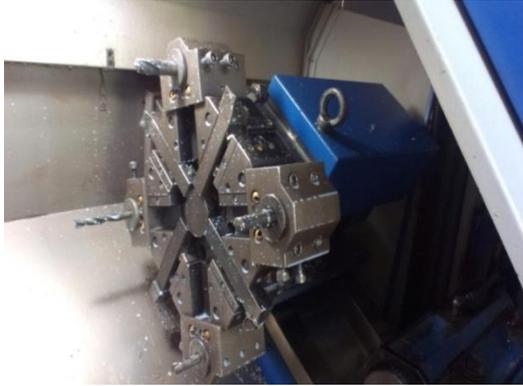
**4.5 Photographs of experimental setup, and work samples**



**Fig: 4.3 Machining on CNC**



**Fig: 4.4 Workpieces after machining**



**Fig: 4.5** Tool holder

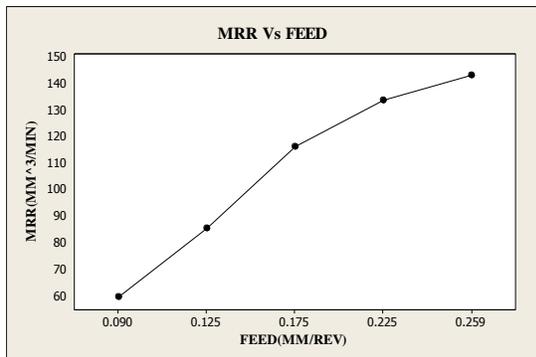


**Fig: 4.6** Cutting of workpieces on power hacksaw

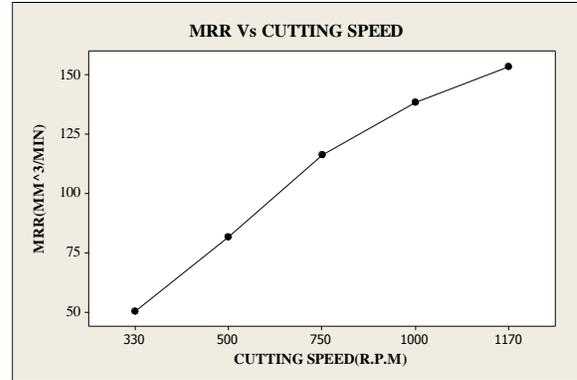
**4.6 Effect of process parameters:**

**Effect of speed, feed and depth of cut on material removal rate (MRR)**

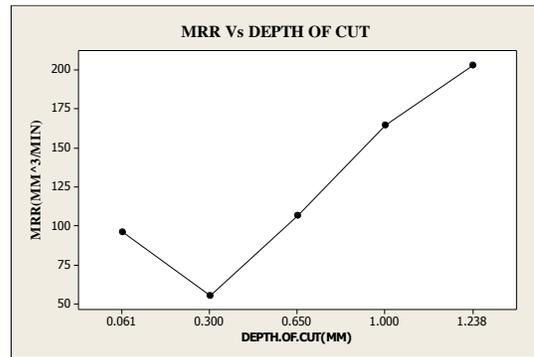
The values of MRR (material removal rate) are calculated for each sample and given in table-4.5. The graphical representation of variation of MRR (material removal rate) with other controlling parameters is shown below.



**FIG: 4.7**



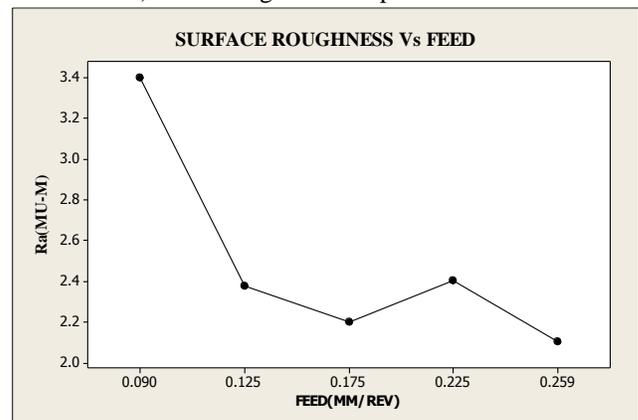
**FIG: 4.8**



**FIG: 4.9**

From the figure, it is obvious that increase in depth of cut and feed rate greatly increases the material removal rate at higher pace. There will be an enormous increase or decrease of MRR (material removal rate) with change in these parameters.

From the figure, it is obvious that increase in depth of cut and feed rate greatly increases the material removal rate at higher pace. There will be an enormous increase or decrease of MRR (material removal rate) with change in these parameters.



**FIG: 4.10**

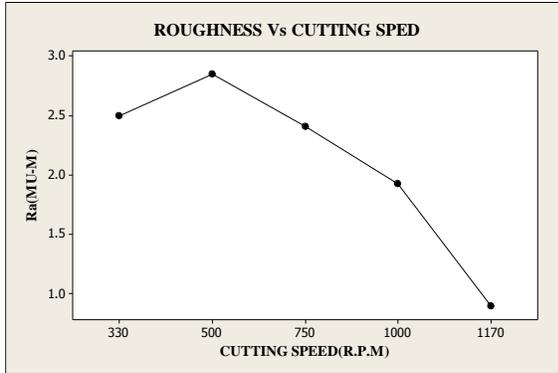


FIG: 4.11

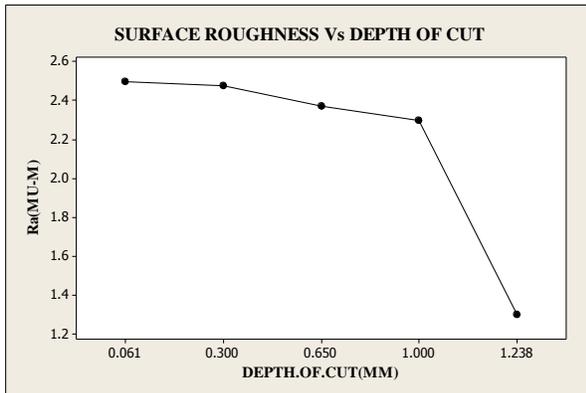


FIG: 4.12

The material removal rate in turning process mainly depends on the parameters (speed, feed and depth of cut). A small change in one variable can abruptly change the output. So it is very difficult to establish models without mathematical analysis. In this chapter the process modeled response surface methodology (RSM)

V. DEVELOPMENT OF MODELS BASED ON RSM

After knowing the values of the observed response, the values of the different regression coefficients of second order polynomial mathematical equation have been evaluated and the mathematical models based on the response surface methodology have been developed by utilizing test results of different responses obtained through the entire set of experiments by using a computer software, MINITAB.

5.1 Model for material removal rate (MRR)

The response surface methodology analysis has been done to establish the relationship between material removal rate (MRR) and the important process parameters, like cutting speed, feed rate and depth of cut. Based on the MRR test results obtained

from the planned experiments, as shown in table, the values of different constants of the Equation are obtained for material removal rate (MRR) model. The mathematical relationship for correlating the MRR and machining parameters has been established as follows:

$$Y_u(MRR) = 110.570 + 24.495X_1 + 29.408X_2 + 45.246X_3 - 4.734X_1^2 - 4.671X_2^2 + 12.212X_3^2 + 11.212X_1X_2 + 18.3X_1X_3 + 20.929X_2X_3$$

This mathematical model has been obtained to reflect the independent, quadratic and interactive effects of the various machining parameters on the material removal rate (MRR) in machining.

Table 5.1

Response Surface Regression: MRR versus speed, feed and depth of cut

The analysis was done using coded units.

Estimated Regression Coefficients for C4

Term	Coef	SECoef	T	P
Constant	110.570	5.921	18.673	0.000
C1	24.495	3.928	6.235	0.000
C2	29.408	3.928	7.486	0.000
C3	45.246	3.928	11.517	0.000
C1*C1	-4.734	3.824	-1.238	0.244
C2*C2	-4.671	3.824	-1.222	0.250
C3*C3	12.212	3.824	3.194	0.010
C1*C2	11.212	5.133	2.184	0.054
C1*C3	18.300	5.133	3.565	0.005
C2*C3	20.929	5.133	4.077	0.002

S = 14.5184 PRESS = 15611.3

R-Sq = 96.51% R-Sq(pred) = 74.13% R-Sq(adj) = 93.36%

Analysis of Variance for C4

Source	DF	Seq SS	Adj SS	Adj MS	F	P
Regression	9	58240.958240.9	6471.2	30.70		0.000
Linear	3	47967.247967.2	15989.1	75.85		0.000
C1	1	8194.7	8194.78194.738.88	0.000		
C2	1	11811.711811.711811.7	56.04			0.000
C3	1	27960.827960.827960.8	132.65			0.000

Square	3	3084.7	3084.7	1028.2	4.88
0.024					
C1*C1	1	430.6	323.1	323.11.53	0.244
C2*C2	1	504.3	314.5	314.51.49	0.250
C3*C3	1	2149.9	2149.92149.910.20	0.010	
Interaction	3	7189.0	7189.0	2396.3	11.37
0.001					
C1*C2	1	1005.6	1005.61005.64.77	0.054	
C1*C3	1	2679.2	2679.22679.212.71	0.005	
C2*C3	1	3504.1	3504.13504.116.62	0.002	
Residual Error	10	2107.9	2107.9	210.8	
Lack-of-Fit	5	2034.9	2034.9	407.0	27.90
0.001					
Pure Error	5	72.9	72.9	14.6	
Total	19	60348.8			

12	146.791	11.3336	(121.538, 172.044)
(105.708, 187.873)			
13	68.951	11.3336	(43.698, 94.204) (27.868, 110.033)
14	221.155	11.3336	(195.902, 246.408)
(180.072, 262.238)			
15	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)
16	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)
17	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)
18	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)
19	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)
20	110.518	5.9315	(97.302, 123.734) (75.522, 145.514)

Unusual Observations for C8

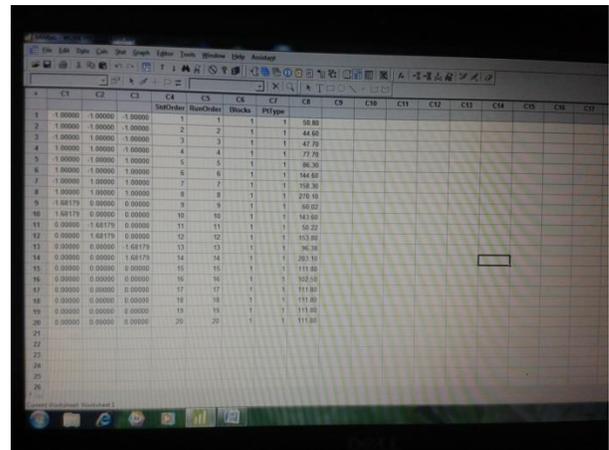
Obs	StdOrder	C8	Fit	SE Fit	Residual	St Resid
13	13	96.380	68.951	11.334	27.429	3.01

R denotes an observation with a large standardized residual.

Predicted Response for New Design Points Using Model for C8

Point	Fit	SE Fit	95% CI	95% PI
1	64.609	11.9022	(38.090, 91.129)	(22.736, 106.483)
2	54.590	11.9022	(28.071, 81.110)	(12.717, 96.464)
3	59.137	11.9022	(32.617, 85.657)	(17.264, 101.010)
4	93.968	11.9022	(67.448, 120.488)	(52.095, 135.841)
5	76.661	11.9022	(50.141, 103.180)	(34.787, 118.534)
6	139.792	11.9022	(113.272, 166.312)	(97.918, 181.665)
7	154.938	11.9022	(128.418, 181.458)	(113.065, 196.811)
8	262.919	11.9022	(236.399, 289.439)	(221.046, 304.793)
9	55.935	11.3336	(30.682, 81.188)	(14.852, 97.017)
10	138.311	11.3336	(113.058, 163.564)	(97.228, 179.393)
11	47.855	11.3336	(22.602, 73.108)	(6.772, 88.937)

Table: 5.2



5.2 Model for Surface Roughness(Ra):

The response surface methodology analysis has been done to establish the relationship between surface roughness and the important process parameters, like cutting speed, feed rate and depth of cut. Based on the surface roughness test results obtained from the planned experiments, as shown in table, the values of different constants of the Equation are obtained for surface roughness model. The mathematical relationship for correlating the surface roughness and machining parameters has been established as follows:

$$Y_u(\text{surface roughness}) = 2.49 - 0.061X_1 - 0.31X_2 - 0.14X_3 + 0.03X_1^2 -$$

$$0.08X_2^2 - 0.04X_3^2 + 0.11X_1X_2 - 0.11X_1X_3 - 0.21X_2X_3$$

This mathematical model has been obtained to reflect the independent, quadratic and interactive effects of the various machining parameters on the surface roughness in machining.

**Table 5.3**

**Response Surface Regression: C8 versus C1, C2, C3**

The analysis was done using uncoded units.

Estimated Regression Coefficients for C8

Term	Coef	SE Coef	T	P
Constant	2.49940	0.007623	327.883	0.000
C1	-0.06124	0.005058	-12.109	0.000
C2	-0.31852	0.005058	-62.979	0.000
C3	-0.14212	0.005058	-28.100	0.000
C1*C1	0.03927	0.004923	7.977	0.000
C2*C2	-0.08447	0.004923	-17.157	0.000
C3*C3	-0.04912	0.004923	-9.976	0.000
C1*C2	0.11250	0.006608	17.025	0.000
C1*C3	-0.11250	0.006608	-17.025	0.000
C2*C3	-0.21250	0.006608	-32.158	0.000

S = 0.0186904 PRESS = 0.0284491  
 R-Sq = 99.86% R-Sq(pred) = 98.84% R-Sq(adj) = 99.73%

Analysis of Variance for C8

Source	DF	Seq SS	Adj SS	Adj MS	F	P
Regression	9	2.44201	2.44201	0.27133	776.73	0.000
Linear	3	1.71261	1.71261	0.57087	1634.18	0.000
C1	1	0.05122	0.05122	0.05122	146.62	0.000
C2	1	1.38555	1.38555	1.38555	3966.30	0.000
C3	1	0.27584	0.27584	0.27584	789.62	0.000
Square	3	0.16565	0.16565	0.05522	158.06	0.000

C1*C1	1	0.03868	0.02223	0.02223	63.63	0.000
C2*C2	1	0.09221	0.10283	0.10283	294.36	0.000
C3*C3	1	0.03476	0.03476	0.03476	99.52	0.000
Interaction	3	0.56375	0.56375	0.18792	537.93	0.000
C1*C2	1	0.10125	0.10125	0.10125	289.84	0.000
C1*C3	1	0.10125	0.10125	0.10125	289.84	0.000
C2*C3	1	0.36125	0.36125	0.36125	1034.12	0.000
Residual Error	10	0.00349	0.00349	0.00035		
Lack-of-Fit	5	0.00349	0.00349	0.00070		*
Pure Error	5	0.00000	0.00000	0.00000		
Total	19	2.44550				
Unusual Observations for C8						

Obs	StdOrder	C8	Fit	SE Fit	Residual	St Resid
3	3	2.300	2.277	0.015	0.023	2.10 R
8	8	1.700	1.671	0.015	0.029	2.73 R
12	12	1.700	1.725	0.015	-0.025	-2.12 R

R denotes an observation with a large standardized residual.

Predicted Response for New Design Points Using Model for C8

Point	Fit	SE Fit	95% CI	95% PI
1	2.71447	0.0152961	(2.68039, 2.74855)	(2.66065, 2.76828)
2	2.59199	0.0152961	(2.55790, 2.62607)	(2.53817, 2.64580)
3	2.27743	0.0152961	(2.24335, 2.31151)	(2.22362, 2.33124)
4	2.60495	0.0152961	(2.57086, 2.63903)	(2.55113, 2.65876)
5	3.08023	0.0152961	(3.04615, 3.11431)	(3.02642, 3.13404)
6	2.50775	0.0152961	(2.47367, 2.54183)	(2.45393, 2.56156)
7	1.79319	0.0152961	(1.75911, 1.82727)	(1.73938, 1.84700)
8	1.67071	0.0152961	(1.63663, 1.70479)	(1.61690, 1.72452)

9	2.71348	0.0145653	(2.68102, 2.74593)
	(2.66068, 2.76627)		
10	2.50749	0.0145653	(2.47503, 2.53994)
	(2.45469, 2.56028)		
11	2.79617	0.0145653	(2.76371, 2.82862)
	(2.74337, 2.84896)		
12	1.72480	0.0145653	(1.69234, 1.75725)
	(1.67200, 1.77760)		
13	2.59950	0.0145653	(2.56704, 2.63195)
	(2.54670, 2.65229)		
14	2.12147	0.0145653	(2.08901, 2.15392)
	(2.06867, 2.17426)		
15	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		
16	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		
17	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		
18	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		
19	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		
20	2.49940	0.0076228	(2.48242, 2.51639)
	(2.45443, 2.54438)		

Table 5.4

VI. CONCLUSIONS

The present study was carried out to study the effect of input parameters on the material removal rate and surface roughness. The following conclusions have been drawn from the study:

1. The Material removal rate is mainly affected by cutting speed and feed rate. With the increase in cuttingspeed the material removal rate is increases & as the feed rate increases the material removal rate increases.

2. The surface roughness is mainly affected by cutting speed and feed rate. With the increase in cuttingspeed the surface roughness is decreases & as the feed rate increases the surface roughness decreases.

3. From ANOVA analysis, parameters making significant effect on material removal rate and surface roughness feed rate, and interaction between feed rate & cutting speed were found to be significant to Material removal rate for reducing the variation.

4. The parameters considered in the experiments are optimized to attain maximum material removal rate and minimum surface roughness. The best setting of input process parameters for defect free turning (maximum material removal rate) within the selected range is as follows:

- i) Speed is 1000rpm
- ii) Feed rate i.e. 0.225mm/rev.
- iii) Depth of cut should be 1.0mm

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