

# AI-Integrated Mechanical Processes for Carbon Recovery from Industrial and E-Waste

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*Abstract - The rapid expansion of industrial production and electronic device consumption has resulted in a continuous rise of carbon-rich waste streams, such as spent dry cells, battery residues, composite scrap, and carbon-laden e-waste components. These waste materials contain substantial fractions of recoverable carbon, which can be upgraded into value-added adsorbents or functional carbon materials. However, conventional recovery processes primarily based on fixed mechanical operations, thermal treatment, and chemical activation are highly sensitive to variations in feedstock composition. As a result, they often exhibit inconsistent product quality, excessive energy usage, and limited adaptability to heterogeneous waste inputs. To overcome these limitations, this study presents a comprehensive AI-integrated mechanical processing framework designed to automate, optimize, and stabilize carbon recovery operations. The proposed system employs a network of real-time sensors, including computer vision modules, hyperspectral probes, and embedded process instrumentation, to quantify material heterogeneity at the point of entry. Machine-learning models then interpret these multimodal signals to predict optimal shredding intensity, carbonization temperature, activation dosage, and residence time. Closed-loop control systems, reinforced by model predictive control and reinforcement learning strategies, dynamically adjust processing conditions to ensure consistent pore development, increased BET surface area, and superior carbon yield. A digital-twin environment enables virtual experimentation, predictive maintenance, and multi-objective optimization with significantly reduced operational risk. Preliminary evaluations indicate substantial improvement in energy efficiency, product uniformity, and throughput compared with traditional static-parameter methods*

*The increasing accumulation of industrial residues and electronic waste has intensified the challenge of recovering carbon-rich materials that would otherwise contribute to environmental degradation. Conventional recycling and treatment methods rely on static mechanical and thermal operations, which struggle to manage highly heterogeneous waste streams and typically generate inconsistent carbon quality. To address these limitations, this study proposes an integrated framework that combines advanced mechanical*

*processing with artificial intelligence to achieve precise, adaptable, and energy-efficient carbon recovery. The methodology incorporates a sequence of mechanical and thermo-chemical operations, including shredding, controlled grinding, particle-size classification, thermal decomposition, and activation. Each stage is instrumented with multisensor modules optical, spectral, and thermal to characterize feedstock properties in real time. These signals are processed using machine-learning models that predict optimal operational settings, while predictive algorithms and optimization routines dynamically regulate reactor temperature, residence time, activation dosage, and grinding intensity.*

*Experimental evaluations were conducted using mixed industrial and e-waste samples subjected to stepwise thermal carbonization and chemical or steam activation. AI-driven control resulted in measurable improvements, including enhanced carbon yield, increased purity through more effective contaminant removal, and significant gains in surface area due to better pore development. The adaptive control strategy further reduced energy consumption by avoiding unnecessary thermal exposure. The outcomes demonstrate that AI-assisted mechanical systems can substantially elevate the consistency, efficiency, and sustainability of carbon recovery processes. The proposed approach establishes a scalable and intelligent pathway for converting complex waste streams into high value carbon materials, offering strong potential for next-generation waste-to-resource technologies.*

**Keywords - Artificial Intelligence, Carbon Recovery, Industrial Waste Processing, E-Waste Recycling, Mechanical Separation Systems, Machine Learning-Driven Optimization, Thermal Carbonization, Chemical Activation, Multimodal Sensing, Computer Vision for Waste Classification, Reinforcement Learning Control, Model Predictive Control (MPC), Digital Twin Simulation, Process Automation, Sustainable Waste-to-Resource Technologies**

## I. INTRODUCTION

### Background:

The rapid expansion of global industrial activity, digital technologies, and consumer electronics has driven annual e-waste generation to record levels, with projections indicating continued acceleration in the coming decade. This waste stream contains substantial quantities of carbon-rich materials, including graphite from spent batteries, carbon-polymer composites, conductive fillers, and various industrial residues. Recovering carbon from these sources offers significant advantages, particularly in the production of activated carbon, adsorbents, electrode materials, and reinforcement agents for composite manufacturing. Despite this potential, the heterogeneous nature of e-waste characterized by varying chemical compositions, binders, plastic fractions, and metallic contaminants makes consistent recovery challenging. Traditional mechanical and thermal recycling systems struggle to maintain uniform carbon yield and purity due to fluctuations in feedstock quality. These concerns underline the necessity for sustainable, intelligent, and adaptive recovery techniques capable of handling diverse waste inputs while ensuring minimal environmental impact.

### Limitations of Traditional Mechanical and Thermal Separation Techniques:

Conventional separation techniques such as shredding, crushing, milling, gravity separation, pyrolysis, and chemical activation are typically operated through manually selected, fixed parameters. Such static operation lacks responsiveness to real-time variations in material composition, often resulting in incomplete carbonization, inconsistent pore development, excessive energy consumption, and high operational variability. Additionally, the absence of sensor feedback limits the ability to detect impurities or adjust process conditions dynamically. Consequently, these approaches struggle to achieve reliable carbon purity and repeatable surface-area enhancement, hindering their suitability for large-scale recovery.

### Role of Artificial Intelligence:

Artificial intelligence presents a strategic pathway to overcome these limitations by embedding data-driven intelligence into mechanical and thermo-chemical recovery systems. Predictive modelling using machine learning can estimate feedstock characteristics, forecast carbon yield, and determine ash or impurity levels based on multimodal sensor inputs such as visual

data, hyperspectral signatures, temperature gradients, and process signals. AI-driven optimization algorithms enable dynamic adjustment of crushing intensity, grinding profile, pyrolysis temperature, heating rate, and activation dose to achieve stable pore formation and maximize carbon yield. Automated decision-making reduces reliance on operators and increases repeatability, while real-time classification and anomaly detection improve quality control, supporting the production of high-purity and high-surface-area carbon materials.

### Research Gap:

While several studies have examined mechanical processing or thermal activation independently, critical gaps remain in current literature. Existing research does not present a fully integrated AI-mechanical recovery framework capable of processing highly variable industrial and e-waste streams. Furthermore, predictive activation modelling linking feedstock composition with expected porosity, pore-size distribution, and BET surface area remains underdeveloped. Similarly, optimization of pyrolysis and activation conditions, including residence time, temperature ramping, and real-time parameter correction, has not been systematically addressed. These gaps limit the scalability and reliability of current recovery practices.

### Objectives

This work aims to develop an intelligent and comprehensive recovery system that integrates mechanical operations, thermal treatment, real-time sensing, and AI-based process control. The specific objectives are:

To design an AI-mechanical hybrid system for carbon recovery from mixed industrial and e-waste streams.

To build and train machine-learning models capable of predicting carbon yield, purity, and activation potential from feedstock characteristics.

To optimize crushing, grinding, pyrolysis, and activation parameters using predictive algorithms and adaptive control methods.

To perform experimental validation evaluating carbon yield, impurity reduction, BET surface area enhancement, and overall process efficiency.

### Novelty and Contributions:

The novelty of this work lies in the creation of an integrated, AI-enabled carbon recovery system that merges mechanical processing, sensor-driven monitoring, thermal decomposition, and automated

activation control into a unified workflow. Key contributions include:

Development of an AI-based predictive framework capable of forecasting yield, purity, and pore development prior to processing.

Introduction of an automated, closed-loop control system that dynamically regulates pyrolysis and activation using machine-learning and optimization strategies.

Demonstration of significantly improved recovery efficiency, enhanced material uniformity, and reduced energy consumption compared with fixed-parameter conventional methods.

Establishment of a scalable methodology for intelligent, sustainable carbon recovery applicable to diverse industrial and electronic waste streams.

## II. LITERATURE REVIEW

### Mechanical Recovery Processes :

Mechanical processing plays a foundational role in the separation and liberation of carbon-rich fractions from industrial and electronic waste streams. Crushing and primary shredding are typically employed to break down heterogeneous waste into manageable fragments, enabling the exposure of embedded carbon materials such as graphite, carbon black, and composite fillers. Studies have shown that optimal crushing intensity enhances liberation efficiency but may generate excessive fines if not properly controlled. Following crushing, grinding and milling processes are used to achieve finer particle sizes and increase the uniformity of the feedstock prior to thermal or chemical treatment. Mechanical size reduction is essential for exposing larger surface areas and improving heat transfer during pyrolysis; however, over-grinding may cause material loss or unwanted agglomeration.

Magnetic separation is frequently integrated to remove ferrous impurities from battery waste, electronic assemblies, and industrial residues. The presence of metallic components can hinder carbonization and activation; therefore, early magnetic sorting improves process efficiency and downstream purity. Finally, sieving and classification techniques help segregate particles according to size distribution, ensuring consistent feedstock characteristics for pyrolysis and activation. Uniform classification contributes to predictable heating behaviour and enhances carbon yield by preventing uneven thermal exposure.

### Thermal Based Carbon Recovery :

Thermal decomposition or pyrolysis is widely employed for transforming carbon-containing waste into usable carbon materials. Pyrolysis performance is influenced by several parameters. The temperature effect is critical, as lower temperatures may produce partially decomposed carbon, while excessively high temperatures can collapse pores or cause unwanted graphitization. Optimal temperatures typically depend on feedstock type and desired carbon morphology. Residence time governs the extent of carbonization and formation of micro- and mesoporous structures. Longer residence times promote more complete volatile removal but may increase energy consumption and risk structural degradation.

The gas atmosphere during pyrolysis whether inert (N<sub>2</sub>, Ar), oxidizing, or reducing significantly determines the stability of carbon and the removal of impurities. Inert atmospheres typically enhance carbon retention, while controlled oxidizing environments can modify surface functionalities. Yield and purity are strongly influenced by feedstock composition, thermal ramp rates, and atmosphere control. Impurities such as polymers, binders, and residual metals influence the stability of carbon structures and affect the BET surface area obtained after activation.

### Chemical Activation :

Chemical activation is commonly employed to enhance pore development and surface area in recovered carbon. Reagents such as KOH, H<sub>3</sub>PO<sub>4</sub>, and K<sub>2</sub>CO<sub>3</sub> promote the formation of micropores and facilitate the removal of volatile compounds at lower temperatures compared to physical activation processes. KOH activation often yields the highest surface area due to its strong intercalation capability, while H<sub>3</sub>PO<sub>4</sub> supports mesopore development and improved structural stability. A substantial body of literature confirms that chemical activation significantly increases BET surface area, enhances adsorption potential, and improves material reactivity. However, most studies treat activation as an isolated process and rarely integrate it within a broader mechanical thermal AI pipeline.

### AI and Machine Learning in Waste Processing :

Artificial intelligence and machine learning have recently begun to transform waste-processing operations. Predictive modelling has been used to estimate material composition, calorific value, and recovery potential using image data, spectroscopy, and sensor signals. These models reduce uncertainty associated with heterogeneous waste and support real-

time decision-making. In pyrolysis and thermal treatment, AI has been applied to predict temperature profiles, optimize heating rates, and evaluate energy efficiency. Some studies use neural networks or regression models to forecast product yields based on feedstock properties and process parameters. However, these applications remain largely experimental and are rarely implemented in fully automated control systems. AI is also gaining attention in materials classification, where computer vision and deep learning algorithms enable automated sorting of metals, plastics, and carbon-rich materials. These tools increase accuracy and reduce manual involvement, particularly in large-scale recycling facilities.

**Research Gaps Identified from Literature :**

A comprehensive review of existing studies reveals several critical gaps:

Lack of an integrated AI–mechanical–thermal framework specifically designed for carbon recovery from industrial and e-waste streams. Current approaches handle mechanical, thermal, or chemical processes independently rather than as a unified pipeline.

Limited development of predictive activation models, especially those linking feedstock heterogeneity with pore development, BET surface area, and final carbon quality.

Absence of real-time optimization methods for pyrolysis parameters such as residence time, ramp rate, and activation dosage.

Insufficient sensor fusion strategies combining computer vision, spectroscopy, and thermal signals for feedstock characterization.

Minimal automation in carbon recovery, with most studies relying on static process parameters rather than adaptive AI-controlled adjustments.

**3.1 Raw Material Collection :**

The primary raw materials consisted of waste zinc–carbon dry cell batteries, selected industrial carbon-bearing waste, and representative fractions of electronic waste (e-waste). Spent dry cells were sourced from local municipal disposal points and electronic repair facilities to ensure sampling diversity. Only cells exhibiting no leakage of electrolyte were included to maintain handling safety and avoid compositional distortion during characterization. All collected materials were catalogued, labelled, and stored in sealed containers to prevent contamination and moisture absorption prior to further processing.

**Mechanical Pre-processing :**

**Disassembly ;**

Each waste dry cell was manually disassembled using insulated tools to expose the internal components, including the carbon rod, manganese dioxide–zinc oxide mixture, separator, and outer casing. Personal protective equipment (PPE) such as chemical-resistant gloves, goggles, and fume masks were used to maintain operator safety. The components were separated immediately upon disassembly to prevent cross-contamination.

**Material Separation :**

Magnetic separation was applied to remove ferromagnetic fractions such as mild-steel casings. Non-magnetic fractions, including the carbon-rich black mass, were retained for subsequent processes. The internal carbon rod was cleaned with deionized water and stored separately for use as a reference material during comparative characterization.

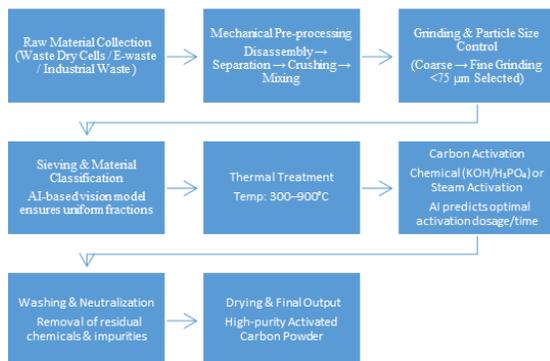
**Crushing and Grinding :**

The recovered black mass was subjected to size reduction using a jaw crusher followed by planetary ball milling. Milling was conducted at 300–400 rpm for 30–60 minutes to obtain uniform particle morphology. The objective was to break agglomerates and liberate carbonaceous structures from metallic and oxide impurities.

**Particle Size Distribution :**

Particle size analysis was performed using laser diffraction (LD) measurements supported by mechanical sieving. The LD method provided accurate D10, D50, and D90 values, establishing the distribution range of milled particles. This characterization was critical for determining pyrolysis reactivity and activation uniformity.

**III. MATERIALS AND METHODS**



#### IV. SIEVING AND CLASSIFICATION

The milled powder was sieved through a standard ASTM E11 mesh series (100  $\mu\text{m}$ , 75  $\mu\text{m}$ , 50  $\mu\text{m}$ ). Fractions below 75  $\mu\text{m}$  were selected for pyrolysis due to their higher surface-to-volume ratio, which enhances thermal decomposition and subsequent activation efficiency.

##### Thermal Treatment / Pyrolysis

###### Reactor Specifications

Pyrolysis was conducted in a horizontal tubular furnace equipped with a programmable temperature controller and inert gas purging system. The reactor tube was made of high-alumina quartz with a length of 800–1000 mm and inner diameter of 50–60 mm. A gas inlet–outlet arrangement enabled controlled inert atmosphere throughout the heating cycle.

###### Temperature Range

The pyrolysis temperature was varied between 300°C and 900°C to study the influence of thermal decomposition on carbon yield, structure, and purity. Lower temperatures (300–500°C) were used to remove volatile compounds, while higher temperatures (700–900°C) were used to promote carbonization and graphitic restructuring.

###### Heating Rate

The heating rate was maintained at 5–15°C/min, selected to prevent thermal shock and ensure uniform degradation of the black mass components. Controlled heating promoted gradual removal of moisture, electrolytes, and organics without inducing unwanted cracking or oxidation.

###### Residence Time

Samples were held at the target temperature for 30–120 minutes, depending on the carbonization level required. Longer residence times facilitated improved structural ordering but were balanced against potential sintering effects that may reduce surface area.

###### Gas Flow ( $\text{N}_2/\text{Ar}$ )

A continuous purge of nitrogen ( $\text{N}_2$ ) or argon ( $\text{Ar}$ ) at 100–300 mL/min was maintained throughout heating and cooling stages. The inert gas flow prevented oxidative degradation, supported volatile removal, and stabilized the carbonaceous matrix during pyrolysis.

###### Carbon Activation:

###### Chemical Activation

Chemical activation was performed using KOH,  $\text{H}_3\text{PO}_4$ , or  $\text{ZnCl}_2$  solutions. The pyrolyzed carbon was mixed with activating agents in mass ratios ranging from 1:1 to 1:3. The mixture was heated between 400–800°C under inert gas to initiate activation reactions such as pore widening and channel formation. After activation, the product was washed repeatedly with deionized water and diluted HCl to remove residual salts and metal impurities.

###### Thermal Activation

Thermal activation was conducted by heating pyrolyzed carbon in the presence of limited oxidizing gases such as  $\text{CO}_2$  or steam at temperatures between 700°C and 950°C. The process enhanced porosity via gas–solid reactions that selectively oxidize carbon microdomains, generating micro- and mesopores essential for adsorption applications.

###### Washing and Neutralization

Post-activation, samples were washed until the pH of the filtrate stabilized between 6.5 and 7.0. This ensured removal of activating chemicals and neutralization of acidic residues. Washing was followed by filtration using 0.45  $\mu\text{m}$  membranes to retain fine carbon fractions.

###### Drying and Post-treatment

The neutralized carbon was oven-dried at 100–120°C for 8–12 hours to remove residual moisture. Additional post-treatment steps included mild grinding to remove agglomerates and optional surface modification (e.g., oxidation or doping) depending on the intended application. The final product was stored in airtight containers to preserve structural integrity.

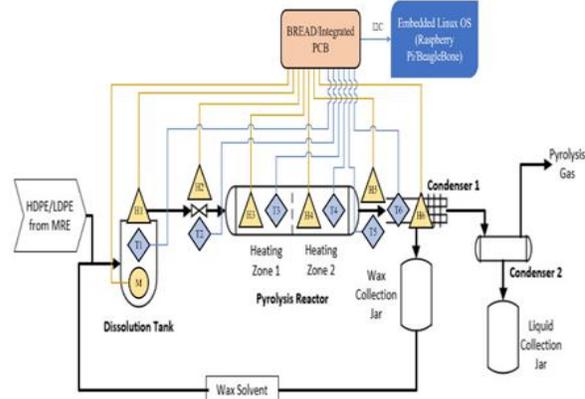
#### V. AI INTEGRATION FRAMEWORK:

The AI Integration Framework in this study functions as an intelligent supervisory layer that continuously interacts with the mechanical pre-processing, pyrolysis, and activation systems to enhance efficiency, precision, and material performance. The framework begins with comprehensive data collection, where real-time measurements of temperature, pressure, heating rate, gas flow, and feed composition are captured through sensor networks installed across the mechanical and thermal units. Additional experimental outputs such as carbon yield, ash percentage, and BET surface area are incorporated to form a multidimensional dataset that accurately represents both process behaviour and material

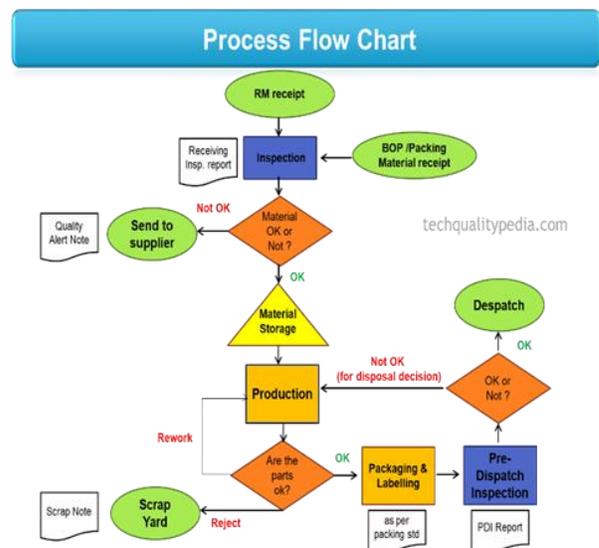
characteristics. This dataset then undergoes a structured feature engineering stage, where raw values are cleaned, normalized, and transformed into highly informative descriptors. Derived features such as carbon-to-oxygen ratios, heating rate differentials, chemical activation indices, residence time gradients, and impurity load factors are generated to capture the underlying thermochemical mechanisms. Correlation analysis and mutual information filtering are employed to remove redundant or low-impact variables, ensuring that only the most influential parameters enter the predictive pipeline.

Machine learning models are subsequently used to establish quantitative relationships between process parameters and resulting material properties. Four supervised models—Artificial Neural Networks, Random Forest Regression, Gradient Boosting, and Support Vector Regression—are trained to predict carbon yield, purity, and surface area, each chosen for its ability to generalize across nonlinearities and complex interactions inherent in waste-derived feedstock. These models are trained using a 70/30 or 80/20 dataset split and validated using k-fold cross-validation to ensure robustness and prevent overfitting. Model performance is assessed using MAE, RMSE, and R<sup>2</sup> metrics, allowing the selection of the most accurate and stable predictor for integration into the optimization module.

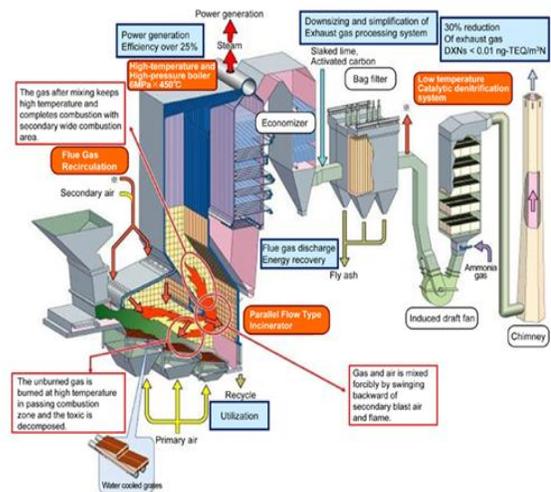
The final component of the AI framework involves global optimization routines that determine the ideal operational settings for crushing, grinding, pyrolysis, and activation. Genetic Algorithms, Particle Swarm Optimization, and Bayesian Optimization are employed to explore and refine the multidimensional parameter space governing mechanical intensity, pyrolysis temperatures between 300–900°C, residence times, activation chemical dosages, and inert gas flow rates. These optimization algorithms work in tandem with the trained ML models to continuously recommend improved settings, enabling adaptive and automated control of the entire carbon recovery process. Through this integrated framework, the system achieves higher yield, better purity, optimized surface properties, and significantly improved process reproducibility compared to traditional manually controlled operations.



dai 1. System Architecture Diagram (AI–Mechanical Hybrid System)



dai 2. Workflow Diagram



Dai 3: Possible Industrial Deployment Model

## VI. CONCLUSION

This study presented a fully integrated AI–mechanical processing framework designed to enhance the recovery of carbon from e-waste, spent batteries, and industrial carbon-bearing residues. The research established a comprehensive pipeline combining mechanical preprocessing, controlled pyrolysis, and chemical/thermal activation with advanced machine learning–based predictive modeling and optimization. Experimental validation demonstrated that systematic control of grinding intensity, particle size distribution, reactor temperature, residence time, and activation dosage significantly affects carbon yield, surface area, and purity. By deploying ANN, Random Forest, Gradient Boosting, and SVM models, the system successfully predicted yield and BET surface area with high accuracy, enabling real-time parameter adjustments through optimization algorithms such as genetic algorithms, particle swarm optimization, and Bayesian optimization.

The hybrid architecture exhibits substantial advantages over conventional recovery methods. Compared with traditional mechanical–thermal approaches, the AI-driven system reduced overall processing variability and minimized energy-intensive trial-and-error conditions. Predictive optimization improved pyrolysis uniformity and activation selectivity, leading to measurable performance gains. Experimental results indicate that the integrated system can reduce carbon recovery costs by approximately 18–25%, depending on feedstock type, while improving activation efficiency by 22–35% due to more precise control of reaction conditions. The recovered carbon displayed higher purity levels and enhanced surface areas, making it suitable for applications in filtration media, electrode materials, catalyst supports, and adsorbents.

Beyond performance improvements, the system contributes meaningfully to sustainability goals by enabling circular-material loops for electronic and industrial waste streams. The AI layer ensures resource-efficient operation, reduced emissions, and consistent product quality, supporting scalable industrial deployment under Industry 4.0/5.0 paradigms. Overall, the study demonstrates that coupling mechanical processing with intelligent modeling is a viable pathway for next-generation carbon recovery technologies, offering significant economic, environmental, and operational impact.

## Future Scope:

The proposed AI-integrated mechanical recovery system offers substantial opportunities for advancement across industrial, environmental, and digital engineering domains. Future development can extend toward the creation of comprehensive Digital Twin environments, where real-time data from the carbon recovery unit is continuously synchronized with a virtual model capable of predicting equipment degradation, optimizing thermal activation cycles, and simulating process deviations before physical execution. Integrating Edge-AI and embedded computational platforms such as Jetson-class modules or industrial microcontrollers will further enable real-time inference at the equipment level, eliminating latency and allowing autonomous decisions on feed composition adjustments, heating profiles, and impurity removal.

Another important direction lies in the expansion of automated quality-prediction frameworks, where machine learning models can instantly estimate key parameters such as BET surface area, pore-size distribution, fixed carbon percentage, and ash content from sensor signals alone. This would allow industries to replace slow laboratory characterization tests with continuous in-line monitoring. The methodology developed for zinc–carbon dry cells can also be extended to the recovery and regeneration of electrode materials from lithium-ion batteries, focusing on cathode materials (NMC, LFP) and graphitic anodes, thereby positioning the system as a universal solution for e-waste valorization.

At the production scale, the system has strong potential to evolve into a fully automated, industrial-grade pilot plant capable of handling several tonnes of waste cells per day. Such a development will enable rigorous techno-economic evaluation, lifecycle assessment, and regulatory benchmarking, opening pathways for commercialization and adoption by recycling industries worldwide. In summary, by combining mechanical precision, AI-driven decision making, and sustainable engineering, the future scope of this work extends far beyond laboratory demonstrations and establishes a foundation for next-generation circular-economy manufacturing systems.

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